

15 July 2001

**Form 603**Corporations Act 2001  
Section 671B**Notice of initial substantial holder**

To Company Name/Scheme Omni Bridgeway Limited

ACN/ARSN 067 298 088

**1. Details of substantial holder (1)**

Name Vanguard Group (The Vanguard Group, Inc. and its controlled entities including those listed in Annexure A)

ACN/ARSN (if applicable) N/A

The holder became a substantial holder on 30 December 2020

**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
<b>Ordinary</b>	<b>13,109,887</b>	<b>13,109,887</b>	<b>5.000%</b>

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
<b>Vanguard Group</b>	Vanguard Group is the manager of various Mutual funds and accounts and in that capacity has the power to dispose of the shares. The other members of Vanguard Group have a relevant interest under section 608(3) of the Corporations Act.	<b>Ordinary – 13,109,887</b>

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
<b>Vanguard Group</b>	<b>Brown Brothers Harriman</b>	Various funds and other accounts of Vanguard Group	<b>Ordinary – 107,134</b>
<b>Vanguard Group</b>	<b>BNY Mellon</b>	Various funds and other accounts of Vanguard Group	<b>Ordinary – 824,505</b>
<b>Vanguard Group</b>	<b>JP Morgan Chase Bank, N.A.</b>	Various funds and other accounts of Vanguard Group	<b>Ordinary – 7,025,664</b>
<b>Vanguard Group</b>	<b>State Street Bank and Trust Company</b>	Various funds and other accounts of Vanguard Group	<b>Ordinary – 1,617,573</b>
<b>Vanguard Group</b>	<b>Various others</b>	Various funds and other accounts of Vanguard Group	<b>Ordinary – 3,535,011</b>

**5. Consideration**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

For personal use only

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
See Annexure A			

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:


Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Vanguard Group	P.O. Box 2600, V26 Valley Forge, PA 19482 USA

print name Shawn Acker capacity Compliance Manager

sign here  date 04 January 2021

For personal use only

## DIRECTIONS

- (1) If there are a number of substantial holders with similar or related interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an Associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, moneys and other, that any person from whom a relevant interest has acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder of its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

To Company Omni Bridgeway Limited  
ACN/ARSN 067 298 088

Substantial Holder Name Vanguard Group  
ACN/ARSN N/A

Date of change	Person whose relevant interest changed	Nature of Change(6)	Consideration given in relation to change(7)	Class	Number of securities affected	Person's votes affected
4-Sep-2020	The Vanguard Group, Inc.	BUY	4.10	Ordinary	318	318
25-Sep-2020	The Vanguard Group, Inc.	SELL	3.92	Ordinary	(11,394)	(11,394)
25-Sep-2020	The Vanguard Group, Inc.	SELL	3.92	Ordinary	(9,495)	(9,495)
6-Oct-2020	The Vanguard Group, Inc.	SELL	3.84	Ordinary	(18,900)	(18,900)
7-Oct-2020	The Vanguard Group, Inc.	SELL	3.93	Ordinary	(17,010)	(17,010)
8-Oct-2020	The Vanguard Group, Inc.	SELL	3.97	Ordinary	(9,450)	(9,450)
20-Oct-2020	The Vanguard Group, Inc.	SELL	3.74	Ordinary	(3,780)	(3,780)
23-Oct-2020	The Vanguard Group, Inc.	SELL	3.69	Ordinary	(1,665)	(1,665)
13-Nov-2020	The Vanguard Group, Inc.	SELL	3.67	Ordinary	(4,480)	(4,480)
8-Dec-2020	The Vanguard Group, Inc.	BUY	4.18	Ordinary	3,240	3,240
9-Dec-2020	The Vanguard Group, Inc.	BUY	4.12	Ordinary	1,080	1,080
15-Dec-2020	The Vanguard Group, Inc.	BUY	4.06	Ordinary	2,520	2,520
18-Dec-2020	The Vanguard Group, Inc.	BUY	3.97	Ordinary	22,673	22,673
21-Dec-2020	The Vanguard Group, Inc.	BUY	3.94	Ordinary	6,720	6,720
21-Dec-2020	The Vanguard Group, Inc.	BUY	3.94	Ordinary	4,480	4,480
22-Dec-2020	The Vanguard Group, Inc.	BUY	3.81	Ordinary	1,800	1,800
1-Sep-2020	Vanguard Investments Australia Ltd.	BUY	4.27	Ordinary	129,394	129,394
1-Sep-2020	Vanguard Investments Australia Ltd.	BUY	4.27	Ordinary	5,264	5,264
7-Sep-2020	Vanguard Investments Australia Ltd.	BUY	4.03	Ordinary	4,251	4,251
9-Sep-2020	Vanguard Investments Australia Ltd.	BUY	3.92	Ordinary	5,886	5,886
16-Sep-2020	Vanguard Investments Australia Ltd.	BUY	4.00	Ordinary	1,650	1,650
18-Sep-2020	Vanguard Investments Australia Ltd.	BUY	3.90	Ordinary	1,660	1,660
18-Sep-2020	Vanguard Investments Australia Ltd.	BUY	3.90	Ordinary	2,656	2,656
21-Sep-2020	Vanguard Investments Australia Ltd.	BUY	3.83	Ordinary	1,023	1,023
24-Sep-2020	Vanguard Investments Australia Ltd.	SELL	3.84	Ordinary	(4,064)	(4,064)
25-Sep-2020	Vanguard Investments Australia Ltd.	BUY	3.89	Ordinary	3,510	3,510
28-Sep-2020	Vanguard Investments Australia Ltd.	BUY	3.97	Ordinary	3,048	3,048
29-Sep-2020	Vanguard Investments Australia Ltd.	BUY	3.93	Ordinary	1,047	1,047
30-Sep-2020	Vanguard Investments Australia Ltd.	BUY	3.93	Ordinary	3,480	3,480
1-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.88	Ordinary	96,978	96,978
5-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.79	Ordinary	5,220	5,220
6-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.85	Ordinary	3,480	3,480
7-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.89	Ordinary	3,048	3,048
12-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.98	Ordinary	310	310
13-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.96	Ordinary	3,500	3,500
19-Oct-2020	Vanguard Investments Australia Ltd.	SELL	3.69	Ordinary	(14,780)	(14,780)
20-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.73	Ordinary	20,292	20,292
23-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.69	Ordinary	3,490	3,490
27-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.60	Ordinary	24,433	24,433
27-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.60	Ordinary	4,068	4,068
28-Oct-2020	Vanguard Investments Australia Ltd.	SELL	3.53	Ordinary	(61,463)	(61,463)
28-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.52	Ordinary	3,470	3,470
29-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.47	Ordinary	1,570	1,570
30-Oct-2020	Vanguard Investments Australia Ltd.	BUY	3.45	Ordinary	3,051	3,051
3-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.36	Ordinary	3,510	3,510
4-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.40	Ordinary	5,265	5,265
5-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.42	Ordinary	702	702
10-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.64	Ordinary	6,035	6,035
11-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.79	Ordinary	3,051	3,051
13-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.66	Ordinary	17,755	17,755
13-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.66	Ordinary	5,695	5,695
17-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.71	Ordinary	2,034	2,034
17-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.71	Ordinary	3,370	3,370
20-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.83	Ordinary	314	314
20-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.83	Ordinary	628	628
23-Nov-2020	Vanguard Investments Australia Ltd.	BUY	3.89	Ordinary	3,996	3,996
25-Nov-2020	Vanguard Investments Australia Ltd.	BUY	4.34	Ordinary	9,628	9,628
26-Nov-2020	Vanguard Investments Australia Ltd.	BUY	4.26	Ordinary	28,117	28,117
26-Nov-2020	Vanguard Investments Australia Ltd.	BUY	4.26	Ordinary	3,051	3,051
30-Nov-2020	Vanguard Investments Australia Ltd.	SELL	4.24	Ordinary	(12,225)	(12,225)
1-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.27	Ordinary	4,815	4,815

## Annexure A

Date of change	Person whose relevant interest changed	Nature of Change(6)	Consideration given in relation to change(7)	Class	Number of securities affected	Person's votes affected
2-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.25	Ordinary	5,136	5,136
3-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.22	Ordinary	43,290	43,290
3-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.22	Ordinary	157	157
4-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.13	Ordinary	3,230	3,230
8-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.16	Ordinary	1,966	1,966
11-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.03	Ordinary	4,329	4,329
11-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.03	Ordinary	471	471
14-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.07	Ordinary	2,946	2,946
15-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.05	Ordinary	3,390	3,390
16-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.03	Ordinary	3,400	3,400
17-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.09	Ordinary	4,420	4,420
18-Dec-2020	Vanguard Investments Australia Ltd.	BUY	3.97	Ordinary	1,962	1,962
22-Dec-2020	Vanguard Investments Australia Ltd.	BUY	3.78	Ordinary	7,140	7,140
23-Dec-2020	Vanguard Investments Australia Ltd.	BUY	3.87	Ordinary	4,634	4,634
29-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.12	Ordinary	1,958	1,958
30-Dec-2020	Vanguard Investments Australia Ltd.	BUY	4.19	Ordinary	2,937	2,937

This is Annexure A of 2 pages referred to in Form 603, Notice of initial substantial holder.

Signature: \_\_\_\_\_



Name: Shawn Acker

Capacity: Compliance Manager

Date: 04 January 2021